Printable version of CDC’S ESG Toolkit for Fund Managers

Each part of the Toolkit can be downloaded as a PDF by clicking ‘Download page’ on the right-hand side of the relevant page.

CDC policies, checklists, templates and guidance

- **CDC policies**
  - CDC’s Code of Responsible Investing
  - CDC’s Policy on Coal-Fired Power Generation
  - CDC’s Climate Change Policy

- **CDC checklists**
  - CDC’s environmental and social checklist
  - CDC’s governance and business integrity checklist

- **CDC templates**
  - Annual ESG report template (general partners reporting to limited partners)
  - ESG action plan
  - Serious accidents reporting
  - ESG legal drafting guide for equity investments
  - Terms of reference for consultants: E&S due diligence
  - Terms of reference for human rights due diligence
- E&S manager job description (fund level)
- Anti-corruption officer job description (company level)

- **CDC guidance**
  - CDC Guidance Note: Heavy Fuel Oil Fired Power Plants
  - CDC Good Practice: Preventing Fatalities and Serious Accidents
  - CDC Good Practice: Environmental and social due diligence: mitigating risks, identifying opportunities
  - CDC Guidance: Adding value in healthcare
  - CDC Toolkit on ESG for Fund Managers (2010 – Historical version)

- **Co-authored guidance**
  - A good practice note for the private sector: Managing risks associated with modern slavery
  - Private equity’s role in delivering the SDGs: Current approaches and good practice
  - Investments in the Agricultural Value Chain: Expanding the Scope of Environmental and Social Due Diligence
  - Private Equity and Emerging Markets Agribusiness: Building Value Through Sustainability
  - A guidance note on managing legacy land issues in agribusiness investments
International standards and conventions

- International Finance Corporation (IFC) Performance Standards
  - IFC 2012 Performance Standards
  - IFC 2012 Guidance Notes

- World Bank Group Environmental, Health and Safety (EHS) Guidelines
  The World Bank Group EHS Guidelines

- International conventions, recommendations and guidance
  a) Environmental:
     - The Montreal Protocol on Substances that Deplete the Ozone Layer (1999)
     - The UN Framework Convention on Climate Change (UNFCCC) (1994)
     - The Kyoto Protocol (2005)

  b) Social:

     The ILO Fundamental Conventions:

     - Freedom of Association and Protection of the Right to Organise Convention, 1948 (No. 87)
Reference materials

- Right to Organise and Collective Bargaining Convention, 1949 (No. 98)
- Forced Labour Convention, 1930 (No. 29)
- Abolition of Forced Labour Convention, 1957 (No. 105)
- Minimum Age Convention, 1973 (No. 138)
- Worst Forms of Child Labour Convention, 1999 (No. 182)
- Equal Remuneration Convention, 1951 (No. 100)
- Discrimination (Employment and Occupation) Convention, 1958 (No. 111)
- Table of ratifications of the fundamental conventions

International guidance:

- UN Guiding Principles on Business and Human Rights

Other conventions:

- Hours of Work (Industry) Convention, 1919 (No. 1)

c) Anti-corruption:

- The UN Convention against Corruption (2005)
- The UK Proceeds of Crime Act (2002)
- The UK Bribery Act (2010)
Reference materials

- The UK Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations (2017)
- The US Foreign Corrupt Practices Act (1977)

Other ESG toolkits for private equity funds

- Other ESG Toolkits for private equity funds
  - IFC – FIRST for Sustainability
  - FMO – ESG Risk Management Tool for Private Equity Investments

- Environmental
  - ISO 14000 series – Environmental management

- Social
  - The Occupational Health and Safety Assessment Series (OHSAS) 18000
  - Social Accountability 8000 (SA8000) by Social Accountability International

- Governance and business integrity
  - The Business Anti-Corruption Portal
  - The Extractive Industries Transparency Initiative (EITI)
Reference materials

- The Financial Action Task Force
- The International Accounting Standards Board and the International Financial
- The OECD Principles of Corporate Governance
- The DFI Toolkit on Corporate Governance (IFC)
- Transparency International’s Corruption Perceptions Index

Other
- Codex Alimentarius (food safety)
- OECD Guidelines for Multinational Enterprises (2011)
- The International Private Equity and Venture Capital Valuation Guidelines
- The SMART Campaign Client Protection Principles
- IFC: Environmental and Social Management System Implementation Handbook

Responsible investment codes, principles and guidelines

- Responsible investment codes, principles and guidelines
  - The United-Nations supported Principles for Responsible Investment
  - The Equator Principles
Associations and networks

- **Associations and networks**
  - African Private Equity and Venture Capital Association (AVCA)
  - British Venture Capital and Private Equity Association (BVCA)
  - East Africa Venture Capital Association (EAVCA)
  - European Private Equity and Venture Capital (EVCA)
  - Global Impact Investing Network (GIIN)