

Printable version of CDC'S ESG Toolkit for Fund Managers

Each part of the Toolkit can be downloaded as a PDF by clicking 'Download page' on the right-hand side of the relevant page.

CDC policies, checklists, templates and guidance

- [CDC policies](#)
 - [CDC's Code of Responsible Investing](#)
 - [CDC's Policy on Coal-Fired Power Generation](#)
 - [CDC's Climate Change Policy](#)

- [CDC checklists](#)
 - [CDC's environmental and social checklist](#)
 - [CDC's governance and business integrity checklist](#)

- [CDC templates](#)
 - [Annual ESG report template \(general partners reporting to limited partners\)](#)
 - [ESG action plan](#)
 - [Serious accidents reporting](#)
 - [ESG legal drafting guide for equity investments](#)
 - [Terms of reference for consultants: E&S due diligence](#)
 - [Terms of reference for human rights due diligence](#)

- [E&S manager job description \(fund level\)](#)
- [Anti-corruption officer job description \(company level\)](#)
- [CDC guidance](#)
 - [CDC Guidance Note: Heavy Fuel Oil Fired Power Plants](#)
 - [CDC Good Practice: Preventing Fatalities and Serious Accidents](#)
 - [CDC Good Practice: Environmental and social due diligence: mitigating risks, identifying opportunities](#)
 - [CDC Guidance: Adding value in healthcare](#)
 - [CDC Toolkit on ESG for Fund Managers \(2010 - Historical version\)](#)

Co-authored guidance

- [A good practice note for the private sector: Managing risks associated with modern slavery](#)
- [Private equity's role in delivering the SDGs: Current approaches and good practice](#)
- [Investments in the Agricultural Value Chain: Expanding the Scope of Environmental and Social Due Diligence](#)
- [Private Equity and Emerging Markets Agribusiness: Building Value Through Sustainability](#)
- [A guidance note on managing legacy land issues in agribusiness investments](#)

International standards and conventions

- [International Finance Corporation \(IFC\) Performance Standards](#)
 - [IFC 2012 Performance Standards](#)
 - [IFC 2012 Guidance Notes](#)

- [World Bank Group Environmental, Health and Safety \(EHS\) Guidelines](#)
[The World Bank Group EHS Guidelines](#)

- [International conventions, recommendations and guidance](#)
 - a) Environmental:**
 - [The Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal \(1992\)](#)
 - [The Convention on International Trade in Endangered Species of Wild Flora and Fauna \(CITES\) \(1973\)](#)
 - [The Montreal Protocol on Substances that Deplete the Ozone Layer \(1999\)](#)
 - [The UN Framework Convention on Climate Change \(UNFCCC\) \(1994\)](#)
 - [The Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade \(2004\)](#)
 - [The Stockholm Convention on Persistent Organic Pollutants \(2004\)](#)
 - [The Kyoto Protocol \(2005\)](#)

b) Social:

The ILO Fundamental Conventions:

- [Freedom of Association and Protection of the Right to Organise Convention, 1948 \(No. 87\)](#)

- [Right to Organise and Collective Bargaining Convention, 1949 \(No. 98\)](#)
- [Forced Labour Convention, 1930 \(No. 29\)](#)
- [Abolition of Forced Labour Convention, 1957 \(No. 105\)](#)
- [Minimum Age Convention, 1973 \(No. 138\)](#)
- [Worst Forms of Child Labour Convention, 1999 \(No. 182\)](#)
- [Equal Remuneration Convention, 1951 \(No. 100\)](#)
- [Discrimination \(Employment and Occupation\) Convention, 1958 \(No. 111\)](#)
- [Table of ratifications of the fundamental conventions](#)

International guidance:

- [UN Guiding Principles on Business and Human Rights](#)

Other conventions:

- [Hours of Work \(Industry\) Convention, 1919 \(No. 1\)](#)

c) Anti-corruption:

- [The UN Convention against Corruption \(2005\)](#)
- [The OECD Convention on Combating Bribery of Foreign Public Officials in International Business Transactions \(2007\)](#)
- [OECD Recommendation for Further Combating Bribery of Foreign Public Officials in International Business Transactions \(2009\)](#)
- [The UK Proceeds of Crime Act \(2002\)](#)
- [The UK Bribery Act \(2010\)](#)

- [The UK Money Laundering, Terrorist Financing and Transfer of Funds \(Information on the Payer\) Regulations \(2017\)](#)
- [The US Foreign Corrupt Practices Act \(1977\)](#)

Other ESG toolkits for private equity funds

- [Other ESG Toolkits for private equity funds](#)
 - [IFC - FIRST for Sustainability](#)
 - [UN Principles for Responsible Investment - Integrating ESG in Private Equity: A guide for general partners \(2014\)](#)
 - [FMO - ESG Risk Management Tool for Private Equity Investments](#)
- [Environmental](#)
 - [ISO 14000 series - Environmental management](#)
- [Social](#)
 - [The Occupational Health and Safety Assessment Series \(OHSAS\) 18000](#)
 - [Social Accountability 8000 \(SA8000\)](#) by Social Accountability International
- [Governance and business integrity](#)
 - [The Business Anti-Corruption Portal](#)
 - [The Extractive Industries Transparency Initiative \(EITI\)](#)

- [The Financial Action Task Force](#)
- [The International Accounting Standards Board and the International Financial](#)
- [The OECD Principles of Corporate Governance](#)
- [The DFI Toolkit on Corporate Governance \(IFC\)](#)
- [Transparency International's Corruption Perceptions Index](#)

- [Other](#)
 - [Codex Alimentarius \(food safety\)](#)
 - [OECD Guidelines for Multinational Enterprises \(2011\)](#)
 - [The International Private Equity and Venture Capital Valuation Guidelines](#)
 - [The SMART Campaign Client Protection Principles](#)
 - [IFC: Environmental and Social Management System Implementation Handbook](#)

Responsible investment codes, principles and guidelines

- [Responsible investment codes, principles and guidelines](#)
 - [The United-Nations supported Principles for Responsible Investment](#)
 - [The Equator Principles](#)

Associations and networks

- [Associations and networks](#)
 - [African Private Equity and Venture Capital Association](#) (AVCA)
 - [British Venture Capital and Private Equity Association](#) (BVCA)
 - [East Africa Venture Capital Association](#) (EAVCA)
 - [European Private Equity and Venture Capital](#) (EVCA)
 - [Global Impact Investing Network](#) (GIIN)